Tafas v. Dudas et al Doc. 77 Att. 9

Case 1:07-cv-00846-JCC-TRJ Document 77-10 Filed 11/20/2007 Page 1 of 6

EXHIBIT H

Bahr, Robert

From:

Morse, Gregory

Sent:

Wednesday, March 21, 2007 5:03 PM

To:

Bahr, Robert





IDs in Cases by Ent. Data Response 3

n TC.xls

claims over 5/25.

21 07.doc

Let me know what else you need - I still need to get you issued

Data Request

For fiscal years 2005 and 2006, the number (by entity) of—
nonprovisional applications (UPRs, including 371s but not RCEs) (including cons,
divs, CIPS?),

continuation applications, continuation-in-part applications, divisional applications, and RCEs.

All UPRD

		FY 2005	FY 2006
Nonpi	ovisionals: (I	ncludes CON	//CIP/DIV, not RCE)
-	Small e:	109,642	109,838
	Non-small:	244,411	260,932
RCE/	CPA/R129:		
	Small e:	11,172	15,347
	Non-small:	44.307	59,496
CONs			
	Small e:	8174	8848
	Non-small:	22726	23832
CIPs		•	
	Small e:	8000	8031
	Non-small:	7604	7657
DIVs			
	Small e:	4111	4555
	Non-small:	15075	16057

2. For fiscal year 2006, the number of FAOMs issued. (first actions taking cases out of "new case" status, or FAOMs that get a count? First actions take cases out of pending inventory)

First actions (UPRD): 2005 317,395 2006 343,640

3. For fiscal year 2006, the number (by entity) of—

Applications containing more than 5 independent claims or more than 25 total claims (at filing, presumably, or at issue or allowance?)

At filing: 25% of small entities and 24% of non-small entities had more than 5/25. Since not all the serialized filings have claim data entered, a raw number that have this many in the database is misleading (318k/345k had data)

Filed 11/20/2007

4. The number of applications in the backlog (as some date) and the number of applications in the backlog that contain (??) more than 5/25

As of 2/28/07, 708,321 UPR cases in the backlog. 29% of the non-small entity cases were over 5 or 25, and 30% of the small entity cases were over 5 and 25. Only about 95% have claims data, so misleading to give a raw number. In addition some cases other than the 95% have not yet been processed enough to determine large/small entity or claims.

5. For fiscal years 2000-2006, the number of applications (by entity) filed in each fiscal year in which a terminal disclaimer was filed (skewed results - TD often at end of prosecution - TDs filed with the app or within some time?)

Separate file.

6. The pendency (average) to FAOM in FY 2006 overall, in the biotech area, computer area, and business method area.

Pendency is reported to First action rather than FAOM.

UPR:

22.6 months

1600 (biotech):

23.5 months

2100 (computer):

30.8 months

3620 and 3690: business methods: 43.9 months (approximate - weighted average of the two groups, not directly reported. TC-level data are from annual report)

7. The number of examiners hired in fiscal years 2005 and 2006

2006 2005

UPRD hires:

978 1218

8. The number of requests for pre-appeal brief conference (since inception), and number of applications in which the prosecutions were reopened after the conference. (decision at conference to reopen, or an action reopening that followed?)

Life of the program, there have been 7,967 conferences conducted as of 3/20/2007. 4,602 conferences resulted in a decision to proceed to the BPAI, and 3,228 conferences resulted in a decision to reopen or allow the application. The remainder identified the request as defective.

9. The number of appeal briefs filed after a pre-appeal brief conference and number of answers issued in those cases; and number of appeal briefs filed (without a pre-appeal brief conference) and number of answers issued in those cases.

Document 77-10

Requests for a pre-appeal brief conference must accompany a notice of appeal.

For notices of appeal filed in the first half of fiscal 2006 (12,811), 2,947 were accompanied by a request for a pre-appeal brief conference. These resulted in 1,463 decisions to proceed to the BPAI at conference, 990 appeal briefs and 682 examiner's answers.

Of the 9,864 notices of appeal with no request for pre-appeal brief conference, 5,458 appeal briefs were filed and 2,267 examiner's answers were written.

10. How big will the corps be after hiring 1,200 examiner a year until 2012?

7,751 examiners UPR, 4,773 examiners UPR at the end of FY 06.

												Lar	ge E	ntity													
	. F	Y 1999		F	Y 2000		FY	2001		F	Y 2002		F	Y 2003		F	Y 2004		F	Y 2005		F	Y 2006			Y 2007	,
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1600	موسة 7 17,866	1+ The 1,581	1.2%	19,582	1,735	8.0%	73,712	1,645	8 9%	23,626		5.6%	21,722	B1D	3.7%	21,598	533	2.5%	20,987	311	1.5%	21,946	84	0.4%	19,310	2	0.0%
1700	29,138	2,119	7.3%	31,408	2,415	7.7%	35,138	2,878	12%	32,833		9.1%	33,214	3,291	10.2%	39,203	2,496	7,5%	33,403	1,153	3.5%	34,656	249	0.7%	14,555	2	0.0%
2100	14,515	884	68%	18,673	1,068	5.7%	23,036	1,204	5.2%	21,065		5,3%	21,291	1,033	4.8%	24,745	900	3.5%	25,841	590	2.3%	25,956	239	0 9%	10,829		0.1%
2600	25,418	1,096	43%	28,088	1,432	5.1%	12,050	1,581	49%	29,725	1,588	5.3%	29,459	1,269	4.3%	34,698	1,224	3.5%	41,071	1,118	2.7%	45,626	388	0.0%	20,452	10	0.0%
2800	40,605	1,831	4.0%	44795	1,785	4 0%	52,583	2,006	31%	50,529	2,119	42%	51,350	2,412	4.7%	54,881	2,721	5.0% 3.1%	50,414 22,627	2,327 518	2.3%	23,445	757 208	1.2%	10,909	"	0.0%
3500	15,178	715	4,4%	20,208	122	4.1%	26,342	B25	3.5%	21,831	834 1,452	3,8% 5.0%	21,658	904 1,293	4.5% 5.1%	22,157 26,591	891 968	3.0%	27,380	525	1.9%	29,841	180	0.5%	14,280	i	0.0%
3700	20,347	1,243 9,379	8.1% 0.418 G	23,244	1,385	5.9% 0.413 0	28,694 221562	1,718	80% 0.386 D	24,802 204412	11412	0.382 0	204112	11112	0.371 0	218173	D495	0.297 0	232323	6542	0.18 0	245235	2065	0.055 4	110803	-	26-06
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	Parge	1+170		7 Auryla	I+ YDs		9.632	1- TDs 705	7.3%	12,343	1+ TD+ 655	5.3%	چېد 12,352	1+ TC= 397	3.2%	78mps 12,130	1+ TD+ 203	2.4%	12,299	127	1,0%	12,239	24	0.2%	4,099	1	0.0%
1600	7,607 8,843	738 548	8.5% 6.1%	9,150 8,948	903 526	8.8% 5.0%	8,035	53.6	6.7%	9,877	631	8.6%	18,525	730	6.0%	10,712	549	5.1%	11,071	323	23%	10,843	51	05%	3,705		0.0%
2100	2,724	158	57%	4,890	205	4.2%	4,504	151	2.3%	5.209	239	4.5%	4,780	155	3.2%	5,138	121	24%	5,380	68	1.3%	5,682	27	0.5%	2,183	•	0.0%
2000	4,020	251	5.4%	5,015	320	5.4%	6,233	252	4.7%	6,900	306	4.5%	6,793	233	3.4%	7,389	279	3.1%	8,653	139	*alf	9,182	69	0.5%	3.584	1	D,0%
5800	8,457	344	4.1%	9,876	374	3.8%	9,309	380	4.1%	12,223	634	4.4%	12,645	575	45%	13,401	819	46%	14,166	490	3.5%	14,933	172	1.1%	5,613	1	0.0% 0.0%
3600	15,314	535	3.5%	18,673	615	3.3%	15,076	856	3.7%	18,025	548	30%	19,066	525 957	2.5% 4.2%	10,363	672	23%	20,249 25,038	366 344	1.8%	10,537 24,000	145 106	0.4%	0.023 0.058	:	0.0%
3700	19,728	917 2,481	4.6% 0.349 O	20,232	948 3823	4.8% 0.361 0	16,725 66716	888 3468	5.3% 0.351 0	21,743 88320	1,019 3952	4.7% 0.33 0	23,007 88748	3572	0.283 0	92288	3003	0.231 0	95856	1857	0.135 0	97154	584	0.042 0	35185	ì	0.001
18100	67,503	3,461	MARA O	71784	3023	0.351 0	007 10	J=04	0.55. 0	8020	3032			-							•••						
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-	/bys	1+ TD=		71,732 21,732	1+ 10± 2.538	8.8%	/Jup. 33,344	1+ TDs 2.350	7.0%	78-pa 38.008	1,581	5.5%	34,074	1,207	3.5%	33,728	426	24%	33,216	434	1.3%	34,165	108	03%	14,409	3	0.0%
1600 1700	25,673 37,561	2,329	9.1% 7.0%	40,020		7,3%	42,171	3,417	7.0%	42,718	3,625	8.5%	41,739	4,121	2.4%	44,105	3,347	69%	44,474	1,478	33K.	45,299	300	0.7%	11,260	2	0.0%
2100	17,241	1,140	8.5%	27,583		5 4%	27,642	1,355	4.9%	20,335	1,364	5.2%	26,071	1,123	4.6%	29,881	921	33%	31,221	658	2,1%	31,638	268	0.8%	13,012		0.0%
2000	30,044	1,347	4,5%	34,041	1,752	5.1%	37,392	1,633	4.9%	34,525	1,094	5.2%	34,252	1,502	4.1%	42,067	1,453	3.5%	50.324	1,257	35%	54,688	457	06%	24,136	,	0.0%
7800	49,263	1,975	4,0%	54.671	2,158	3.0%	61,892	2,388	3.8%	82,752	2,653	4.2%	64,015	2,967	4.7%	64,242	3,340	4.0%	74,540	2,317	3.8%	79,807	929 353	12%	34,001 17,732	"	00%
3800	21,492	1,250	4.0%	34,881	1,437	3.7%	41,418	1,481	3.6%	39,656	1,382	3.5% 5.3%	49,534 44,215	1,429	35% 4.7%	41,530 50,888	1,211	2.0% 3.2%	42,876 52,418	249	21%	42,983 54,789	353 268	05%	23,318	Ġ	0.0%
3700	40,115	2,160	3.4%	43,476 26376D	2,345	6.4% 0.397 0	45,419 290278	2,604 15420	5.7% 0.376 O	46,545 280732	2,471	0.372 0	262900	14634	0345 0	310450	12494	0271 0	329179	8399	0 167 0	343389	2679	0.051 0	145788	33	0.002
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